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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

04/01/2004

Frank Chau Esq F Chau & Associates LLC 1900 Hempstead Turnpike Suite 501 East Meadow, NY 11554 EXAMINER

PAPER NUMBER

STEIN, STEPHEN J

ART UNIT

DATE MAILED: 04/01/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/037,045 | 11/09/2001 | James Gimzewski | CH920000071US1 | 5544 |

TITLE OF INVENTION: CRYSTALS COMPRISING SINGLE-WALLED CARBON NANOTUBES

| APPLN. TYPE | APPLN. TYPE SMALL ENTITY | | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------------------|--------|-----------------|------------------|------------|
| nonprovisional | NO | \$1330 | \$300 | \$1630 | 07/01/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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| 7: | 590 04/01/2004 | | | have its own certifica | ite of mailing or transmission. | on or rounds didwing, much |
| Frank Chau Esq F Chau & Associat | es LLC | | | I hereby certify that | ertificate of Mailing or Trans this Fee(s) Transmittal is bein | smission g deposited with the United |
| 1900 Hempstead T | | | | States Postal Service addressed to the Ma | this Fee(s) Transmittal is being with sufficient postage for fir all Stop ISSUE FEE address | st class mail in an envelope above, or being facsimile |
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| East Meadow, NY | 11554 | | | | | (Depositor's name) |
| | | | | | | (Signature) |
| | | | | | | (Date) |
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| | e address or indication of "Fe | ee Address" (37 | 2. For printing on | the patent front page | e, list (1) the | |
| CFR 1.363). | | · | names of up to | 3 registered patent atively, (2) the name | attorneys or 1 | |
| Change of corresponde Address form PTO/SB/13 | ence address (or Change of C 22) attached. | Correspondence | firm (having as a | member a registered | l attorney or 2 | |
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| PLEASE NOTE: Unless | an assignee is identified bel | low, no assignee da | ata will appear on the | patent. Inclusion of | assignee data is only appropria | ate when an assignment has |
| been previously submitte (A) NAME OF ASSIGN | _ | - | - | ion of this form is NC Y and STATE OR CO | OT a substitute for filing an assi | ignment. |
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| Please check the appropriate | e assignee category or catego | ries (will not be pri | inted on the patent); | individual | corporation or other private gr | oup entity government |
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| Frank Chau Es | | | | STEIN, ST | EPHEN J |
| F Chau & Associated 1900 Hempstead | | | | ART UNIT | PAPER NUMBER |
| Suite 501 | | | | 1775 | |
| East Meadow, NY 11554 | | | DATE MAILED: 04/01/2004 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 175 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 175 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.